



From 由：_____

Date 日期：_____

INSTRUCTIONS 指示

(Withdrawal 提貨 / Deposit 存貨 / Transfer 轉帳 / Dual Counter Security Transfer 雙櫃台證券轉換)

CLIENT NAME 客戶姓名：_____ ACCOUNT NO. 客戶號碼：_____ Stock Option 股票期權

HK STOCK 香港股票 OVERSEAS STOCK 國外股票 (State Market 請註明市場：_____)

BOND 債券 (State Market 請註明市場：_____)

SI 交收指示 ISI 投資者交收指示 (For HK Stock Only 僅適用於香港股票)

Free of Delivery (FOP) 毋須付款

Delivery Versus Payment (DVP) 貨銀對付 (State Amount * if choose DVP 如選擇 DVP 交易，請註明金額*)

NAME OF COUNTERPARTY 對手名稱：_____ (For HK Stock Only 僅適用於香港股票)

COUNTERPARTY CCASS NO. 對手中央結算號碼：_____ (僅適用於香港股票)

OTHER DETAILS 其他資料：_____

CONTACT PERSON & CONTACT TEL. NO. 聯絡人及聯絡電話：_____

BENEFICIARY NAME 收受人姓名：_____

REMARKS 備註：_____

VALUE DATE 生效日期：_____

Physical Withdrawal 現貨提取 (Delivery to 交 _____ Branch 分行 Client will pick up at Head Office 客戶到總公司提貨)

Authorized Person's Name (If necessary) 被授權人姓名 (如適用)：_____ Remark: Original Instruction is required 備註: 正本必須交回公司

Authorized Person's ID No. (If necessary) 被授權人身份證明號碼 (如適用)：_____

TRANSFER 轉帳：

FROM 由 CPYI "Securities 證券" CPYI "Stock Options 股票期權" ACCOUNT NO. 客戶號碼：_____

TO 至 CPYI "Securities 證券" CPYI "Stock Options 股票期權" ACCOUNT NO. 客戶號碼：_____

SECURITIES CODE 證券代號	SECURITIES NAME 證券名稱	QUANTITY 數量	* DVP AMOUNT 貨銀對付金額	For Office Use		
				CCASS Depo / Other Remarks	Hold bf Settle	ISI DvP on-hold

*HKD-RMB DUAL COUNTER SECURITY TRANSFER 雙櫃台證券轉換 VALUE DATE 生效日期：_____

SECURITIES NAME : _____ QUANTITY : _____
 證券名稱 _____ 數量 _____

FROM 由: SECURITIES CODE 證券代號 _____ TO 至: SECURITIES CODE 證券代號 _____

CLIENT SIGNATURE 客戶簽署 _____ AE SIGNATURE 業務主任簽署 _____

Tel / Ext. No. _____

AE Name & Code _____

APPROVED BY:	
Branch Manager Signature	Team Head Signature
Risk Management Signature	Head of LCD Signature
Signature Verification (AOT)	CEO Signature

FOR OFFICE USE ONLY					
Availability	CCASS		BOS		Reviewed By
Checked By	Input By	Approved By	Input By	Approved By	Head of Settlement

Customer Services - Confirmed by: _____ Ext. No.: _____

By Phone Date: _____ Time: _____