

Scrip handling and settlement-related services Cost		
Service	Charges	Minimum / Maximum
Money Settlement Fee	Free	N/A
Transfer Deed Stamp Duty	HK\$5.00 per transfer deed	N/A
Express Registration Fee for Physical Share Certificate	HK\$20.00 / RMB20.00 per share certificate	N/A
Physical Withdrawal of Securities	HK\$5.00 / RMB5.00 per lot	Min.: HK\$100.00 / RMB100.00 per stock
Deposit Transaction Fee	Free	N/A
Stock Withdrawal Fee (SI via CCASS)	0.05% on stock market value of previous trading day per transaction	Min.: HK\$100.00 / RMB100.00 Max.: HK\$500.00 / RMB500.00
Stock Withdrawal Fee (ISI via CCASS)	Free	N/A
Investor Settlement Instruction Fee	N/A	N/A
Compulsory Share Buy-back Fees	Amounts specified by HKSCC or 0.5% on transaction amount on short sell settlement date	N/A
Information Services		
Service	Charges	Minimum / Maximum
Online Real-time Stock Quote	AAStock - HK Stock Version	HK\$325.00
	AAStock - Profession Version	HK\$398.00
	QuotePower - Profession Version	HK\$500.00
Short Message Services Fee	Free	N/A
Account maintenance		
Service	Charges	Minimum / Maximum
Custody Fee	Free	N/A
Stock Balance or Account Confirmation Fee	Handling fee HK\$100.00 per copy	N/A
Statement Fee (Physical Delivery)	HK\$10.00 per month	N/A
Dormant Account Fee	Free	N/A
Stock Segregated Account (with CCASS Statement) Service Fee	N/A	N/A
Reprint Daily Statement	Within 1 month	Free
	Over 1 month	HK\$100.00
	Over 12 months	HK\$200.00
Reprint Monthly Statement	Within 3 months	Free
	Over 3 months	HK\$100.00
	Over 12 months	HK\$200.00

Nominee services and corporate actions		
Service	Charges	Minimum / Maximum
CCASS Registration Scrip Fee	HK\$2.50 / RMB2.50 per lot	Min: HK\$10.00/RMB10.00 Max: N/A
CCASS Registration Scrip Fee- Physical Stock	HK\$2.50 / RMB2.50 per lot + handling fee HK\$100.00 / RMB100.00per stock	N/A
Collection of Cash Dividend or Cash Distribution or Debt Securities Interest	0.5% on the Amount Paid	Min.: HK\$20.00 / RMB20.00/ USD3.00 Max.: N/A
Privatization	HK\$1.00 / RMB1.00 per lot + 0.5% on the Amount Paid + Ad Valorem Stamp Duty	Min.: HK\$20.00 / RMB20.00/ USD3.00 Max.: N/A
Dividend Claim / Bonus Shares	HK\$400.00 / RMB400.00 per stock per distribution plus HK\$200.00 / RMB200.00 Share Registrar Office Confirmation (if necessary)	N/A
Collection Fee of Bonus Issue / Scrip Dividend	HKD/RMB 10 (per share/per order)**	Min: N/A Max: N/A **Shanghai A share (Shanghai-Hong Kong Connect) and Shenzhen Stock Exchange Securities (SZ-HK Stock Connect)will charge additional bonus shares deposit fee HKD/RMB 1 per board lot
Corporate Action Fee	HK\$1.00 / RMB1.00 per lot	N/A
Right / Warrant / Covered Warrant Subscription & Exercise	HK\$1.00 / RMB1.00 per lot + handling fee HK\$50.00/RMB50.00 per	N/A
Auto-exercise / Cash Settlement Warrant	HK\$1.00 / RMB1.00 per lot + handling fee HK\$50.00/RMB50.00 per	N/A
Cash / Open Offer Charge	HK\$1.00 / RMB1.00 per lot + handling fee HK\$50.00/RMB50.00 per order	** For Cash offer : Shanghai A share (Shanghai-Hong Kong Connect) and Shenzhen Stock Exchange Securities (SZ-HK Stock Connect) will charge additional trading charges (Handing fee, Securities management fee, Seller Stamp, Transfer fee)
Special / Conditional Offer Charge	HK\$1.00 / RMB1.00 per lot + handling fee HK\$50.00/RMB50.00 per	N/A
Handling Fee for Conversion, Redemption and Corporate Actions of Registered and Bearer Debt	HK\$50.00 / RMB50.00 per instruction/ announcement	N/A
Financing and other services		
Service	Charges	Minimum / Maximum
Margin Account Financing Charge	P+3% p.a.on overdue amount after T+2 (unless otherwise agreed)	N/A

IPO Application Service Charge	HK\$100.00/RMB100.00 per application	N/A
Cash Account Overdue Interest	P+6% p. a. on overdue amount after T+2 P+8% p.a. on overdue amount on or after (unless otherwise agreed)	N/A
Financing Charge for Subscription of Securities	Subject to terms and conditions	N/A
Banking Service Charges	(i)Fund Transfer by CHATS actual bank charges + handling fee HK\$100.00 (ii)Telegraph Transfer charge actual bank charges + handling fee HK\$100.00 (iii)Stop Payment charge actual bank charges + handling fee HK\$100.00 (iv)Return Cheque charge actual bank charges + handling fee HK\$100.00	N/A
Negative Interest Rate for Swiss Franc (CHF) Deposit	0.8% p.a. of CHF deposit amount	N/A

**Notes:**

All the above charges are subject to change without prior notice, please check with your account executive for the latest charges.

For details, please contact your designated Account Executive or call CPY services hotline:(852) 2166-3888