

Service Charges Minimum / Maximum  Money Settlement Fee Free N/A  Transfer Deed Stamp Duty HK\$5.00 per transfer deed  Express Registration Fee for Physical Share Certificate Physical Withdrawal of Securities Pree Free N/A  Stock Withdrawal Fee O.05% on stock market value of previous trading day per transaction Max.: HK\$100.00 / RMB100.00  Stock Withdrawal Fee (ISI via CCASS)  Investor Settlement Instruction Fee Compulsory Share Buy-back Fees Amounts specified by HKSCC or 0.5% on transaction amount on short sell settlement date  Information Services  Service Charges Minimum / Maximum  Minimum / Maximum / Maximum  N/A  Instruction Fee Charges Minimum / Maximum  Online Real-time Stock AAStock		Scrip handing and settlement-related serv	ices Cost
Transfer Deed Stamp Duty   HK\$5.00   per transfer deed   Express Registration Fee for Physical Share Certificate   Physical Withdrawal of Securities   HK\$5.00 / RMB5.00 per lot   Min.: HK\$100.00 / RMB100.00   per stock   Deposit Transaction Fee   Free   N/A   Stock Withdrawal Fee   0.05% on stock market value   Min.: HK\$100.00 / RMB100.00   (SI via CCASS)   of previous trading day per transaction   Max.: HK\$500.00 / RMB500.00   Stock Withdrawal Fee   Free   N/A   (ISI via CCASS)   Investor Settlement   N/A   N/A   Instruction Fee   Compulsory Share Buy-back   Amounts specified by HKSCC or 0.5% on transaction amount on short sell settlement   M/A   Information Services   Information Services   Minimum / Maximum   Maximum	Service	Charges	Minimum / Maximum
Express Registration Fee for Physical Share Certificate  Physical Withdrawal of Securities  Peposit Transaction Fee Free Stock Withdrawal Fee O.05% on stock market value of Stock Withdrawal Fee (ISI via CCASS)  Investor Settlement Instruction Fee Compulsory Share Buy-back Fees  Per transfer deed  HK\$20.00 / RMB20.00  Min.: HK\$100.00 / RMB100.00  Min.: HK\$100.00 / RMB100.00  Max.: HK\$500.00 / RMB500.00  Max.: HK\$500.00 / RMB500.00  N/A  N/A  N/A  N/A  Instruction Fee  Compulsory Share Buy-back Fees  Information Services  Minimum / Maximum	Money Settlement Fee	Free	N/A
Express Registration Fee for Physical Share Certificate per share certificate  Physical Withdrawal of Securities  Deposit Transaction Fee Free  Stock Withdrawal Fee O.05% on stock market value Min.: HK\$100.00 / RMB100.00  Stock Withdrawal Fee O.05% on stock market value Min.: HK\$100.00 / RMB100.00  Stock Withdrawal Fee Free  N/A  Stock Withdrawal Fee Free  N/A  (ISI via CCASS)  Investor Settlement Instruction Fee  Compulsory Share Buy-back Fees  Amounts specified by HKSCC or 0.5% on transaction amount on short sell settlement date  Information Services  Service  Charges  Minimum / Maximum	Transfer Deed Stamp Duty	HK\$5.00	N/A
Physical Share Certificate Physical Withdrawal of Securities Pree N/A Stock Withdrawal Fee (ISI via CCASS) Investor Settlement Instruction Fee Compulsory Share Buy-back Pres Compulsory Share Buy-back Amounts specified by HKSCC or 0.5% on transaction amount on short sell settlement date Information Services Service Charges Minimum / Maximum		per transfer deed	
Physical Share Certificate Physical Withdrawal of Securities Pree N/A Stock Withdrawal Fee (ISI via CCASS) Investor Settlement Instruction Fee Compulsory Share Buy-back Pres Compulsory Share Buy-back Amounts specified by HKSCC or 0.5% on transaction amount on short sell settlement date Information Services Service Charges Minimum / Maximum	Express Registration Fee for	HK\$20.00 / RMB20.00	N/A
Securities per stock  Deposit Transaction Fee Free N/A  Stock Withdrawal Fee 0.05% on stock market value Min.: HK\$100.00 / RMB100.00  (SI via CCASS) of previous trading day per transaction Max.: HK\$500.00 / RMB500.00  Stock Withdrawal Fee Free N/A  (ISI via CCASS)  Investor Settlement N/A  Instruction Fee Compulsory Share Buy-back Fees Amounts specified by HKSCC or 0.5% on transaction amount on short sell settlement date  Information Services  Service Charges Minimum / Maximum	Physical Share Certificate	per share certificate	
Deposit Transaction Fee Free N/A  Stock Withdrawal Fee 0.05% on stock market value Min.: HK\$100.00 / RMB100.00  (SI via CCASS) of previous trading day per transaction Max.: HK\$500.00 / RMB500.00  Stock Withdrawal Fee Free N/A  (ISI via CCASS)  Investor Settlement N/A  Instruction Fee Compulsory Share Buy-back Amounts specified by HKSCC or 0.5% on transaction amount on short sell settlement date  Information Services  Service Charges Minimum / Maximum	Physical Withdrawal of	HK\$5.00 / RMB5.00 per lot	Min.: HK\$100.00 / RMB100.00
Stock Withdrawal Fee   0.05% on stock market value   Min.: HK\$100.00 / RMB100.00   (SI via CCASS)   of previous trading day per transaction   Max.: HK\$500.00 / RMB500.00   Stock Withdrawal Fee   Free   N/A   (ISI via CCASS)   Investor Settlement   N/A   N/A   Instruction Fee   Compulsory Share Buy-back   Amounts specified by HKSCC or 0.5% on transaction amount on short sell settlement date    Information Services   Service   Charges   Minimum / Maximum	Securities	-	per stock
(SI via CCASS) of previous trading day per transaction Max.: HK\$500.00 / RMB500.00  Stock Withdrawal Fee Free N/A  (ISI via CCASS)  Investor Settlement N/A N/A  Instruction Fee Compulsory Share Buy-back Amounts specified by HKSCC or 0.5% on transaction amount on short sell settlement date  Information Services  Service Charges Minimum / Maximum	Deposit Transaction Fee	Free	N/A
Stock Withdrawal Fee   Free   N/A    (ISI via CCASS)   Investor Settlement   N/A   N/A    Instruction Fee   Compulsory Share Buy-back   Amounts specified by HKSCC or 0.5% on transaction amount on short sell settlement date    Information Services   Service   Charges   Minimum / Maximum	Stock Withdrawal Fee	0.05% on stock market value	Min.: HK\$100.00 / RMB100.00
Stock Withdrawal Fee Free N/A  (ISI via CCASS)  Investor Settlement N/A  Instruction Fee  Compulsory Share Buy-back Amounts specified by HKSCC or 0.5% on transaction amount on short sell settlement date  Information Services  Service Charges Minimum / Maximum	(SI via CCASS)	of previous trading day per transaction	Max.: HK\$500.00 / RMB500.00
Investor Settlement N/A N/A  Instruction Fee Compulsory Share Buy-back Amounts specified by HKSCC or 0.5% on transaction amount on short sell settlement date  Information Services  Service Charges Minimum / Maximum	,		
Investor Settlement N/A N/A  Instruction Fee Compulsory Share Buy-back Amounts specified by HKSCC or 0.5% on transaction amount on short sell settlement date  Information Services  Service Charges Minimum / Maximum	(ISI via CCASS)		
Instruction Fee Compulsory Share Buy-back Amounts specified by HKSCC or 0.5% on transaction amount on short sell settlement date  Information Services  Service Charges Minimum / Maximum		N/A	N/A
Fees transaction amount on short sell settlement date  Information Services  Service Charges Minimum / Maximum	Instruction Fee		
Information Services Service Charges Minimum / Maximum		* *	N/A
Service Charges Minimum / Maximum	Fees		
Service Charges Minimum / Maximum			
	Service		Minimum / Maximum
	Online Real-time Stock	AAStock -	
Quote HK Stock Version HK\$325.00			HK\$325.00
AAStock -			ПК\$323.00
Profession Version HK\$398.00			HK\$308 00
QuotePower -			ПКФ396.00
Profession Version HK\$500.00			HK\$500.00
Short Message Services Fee Free N/A	Short Message Services Fee		
Account maintenance			
Service Charges Minimum / Maximum	Service		Minimum / Maximum
Custody Fee Free N/A			
Stock Balance or Account Handling fee HK\$100.00 per copy N/A	•		
Confirmation Fee		Tranding ice TIX\$100.00 per copy	IVA
Statement Fee (Physical HK\$10.00 per month N/A	Statement Fee (Physical	HK\$10.00 per month	N/A
Delivery)		<b>P</b>	NY/A
Dormant Account Fee Free N/A			
Stock Segregated Account N/A N/A (with CCASS Statement)	~ ~	N/A	N/A
Service Fee			
Reprint Daily Statement Within 1 month Free		Within 1 month	Free
Over 1 month HK\$100.00		Over 1 month	HK\$100.00
Over 12 months HK\$200.00		Over 12 months	HK\$200.00
Reprint Monthly Statement Within 3 months Free	Reprint Monthly Statement	Within 3 months	Free
Over 3 months HK\$100.00		Over 3 months	HK\$100.00
Over 12 months HK\$200.00		Over 12 months	HK\$200.00

Nominee services and corporate actions			
Service	Charges	Minimum / Maximum	
CCASS Registration Scrip Fee	HK\$2.50 / RMB2.50 per lot	Min: HK\$10.00/RMB10.00 Max: N/A	
CCASS Registration Scrip	HK\$2.50 / RMB2.50 per lot	N/A	
Fee- Physical Stock	+ handling fee HK\$100.00 /		
-	RMB100.00per stock		
Collection of Cash Dividend	•	Min.: HK\$20.00 /	
or Cash Distribution or Debt		RMB20.00/	
Securities Interest		USD3.00	
		Max.: N/A	
Privatization	HIV\$1.00 / PMP1.00 per let	Min.: HK\$20.00 /	
Privauzauon	HK\$1.00 / RMB1.00 per lot		
	+ 0.5% on the Amount Paid	RMB20.00/	
	+ Ad Valorem Stamp Duty	USD3.00	
		Max.: N/A	
Dividend Claim / Bonus Shares	HK\$400.00 / RMB400.00 per stock per distribution plus HK\$200.00 / RMB200.00	N/A	
	Share Registrar Office Confirmation		
	(if necessary)		
Collection Fee of Bonus	HKD/RMB 10 (per share/per order)**	Min: N/A	
Issue / Scrip Dividend		Max: N/A	
		**Shanghai A share (Shanghai-Hong	
		Kong Connect) and Shenzhen Stock	
		Exchange Securities (SZ-HK Stock Connect) will charge additional bonus	
		shares deposit fee HKD/RMB 1 per	
Corporate Action Fee	HK\$1.00 / RMB1.00 per lot	board lot N/A	
Right / Warrant / Covered	HK\$1.00 / RMB1.00 per lot	N/A	
Warrant Subscription &	+ handling fee HK\$50.00/RMB50.00 per	17/1	
Auto-exercise / Cash	HK\$1.00 / RMB1.00 per lot	N/A	
Settlement Warrant	+ handling fee HK\$50.00/RMB50.00 per	IVA	
	•	** For Cook offer Changhai A shore	
Cash / Open Offer Charge	HK\$1.00 / RMB1.00 per lot	** For Cash offer : Shanghai A share (Shanghai-Hong Kong Connect) and	
	+ handling fee HK\$50.00/RMB50.00 per order	Shenzhen Stock Exchange Securities	
	order	(SZ-HK Stock Connect) will charge	
		additional trading charges (Handing	
		fee, Securities management fee, Seller	
		Stamp, Transfer fee)	
Special / Conditional Offer	HK\$1.00 / RMB1.00 per lot	N/A	
Charge	+ handling fee HK\$50.00/RMB50.00 per		
Handling Fee for	HK\$50.00 / RMB50.00 per instruction/	N/A	
Conversion, Redemption	announcement		
and Corporate Actions of Registered and Bearer Debt			
	Financing and other services		
Service	Charges	Minimum / Maximum	
Margin Account Financing	P+3% p.a.on overdue amount after T+2	N/A	
Charge	(unless otherwise agreed)		

IPO Application Service	HK\$100.00/RMB100.00	N/A
Charge	per application	
Cash Account Overdue	P+6% p. a. on overdue amount after T+2	N/A
Interest	P+8% p.a. on overdue amount on or after	
	(unless otherwise agreed)	
Financing Charge for Subscription of Securities	Subject to terms and conditions	N/A
Banking Service Charges	(i)Fund Transfer by CHATS	N/A
	actual bank charges	
	+ handling fee HK\$100.00	
	(ii)Telegraph Transfer charge	
	actual bank charges	
	+ handling fee HK\$100.00	
	(iii)Stop Payment charge	
	actual bank charges	
	+ handling fee HK\$100.00	
	(iv)Return Cheque charge	
	actual bank charges	
	+ handling fee HK\$100.00	
Negative Interest Rate for Swiss Franc (CHF) Deposit	0.8% p.a. of CHF deposit amount	N/A

## **Notes:**

All the above charges are subject to change without prior notice, please check with your account executive for the latest charges.

For details, please contact your designated Account Executive or call CPY services hotline:(852) 2166-3888