

Overseas and China Stock Market Charges								
Markets (Equity)	Settlement Fee	Comm. Charged to Client	Tax / Stamp Duty Charged by Government		Transaction Levy / Others		Settlement Details	
		Min.-Standard Rate	Buy	Sell	Buy	Sell	Buy	Sell
Australia	(##)	0.70% Min.AUD70	N/A	N/A	N/A		T+2	T+2
Canada	(##)	0.6% (min.CAD60 per order)	N/A	N/A	N/A		T+2	T+2
Indonesia	(##)	0.6% Min.Equivalent to USD50 at prevailing FX rate	VAT: 0.005%	VAT: 0.005% Withholding Tax: 0.1%	0.043% (For Ordinary Shares)		T+2	T+2
Japan**	(Start from 1/12/2017) Equity JPY1,500 for Safekeeping Client JPY3,000 for DVP Client Bond & Unlisted Stock JPY2,900 for Safekeeping Client JPY5,600 for DVP Client	0.4% Min. JPY4,000	N/A	N/A	N/A		T+2	T+2
Korea #	(##) Settle Ccy (HKD&USD)	0.6% WON30,000	N/A	KOSPI: 0.25% (Stock Transaction Tax 0.1% + Special Tax Rate for Rural Development (Existing / Intact) 0.15%) KOSDAQ: 0.25% Stock Transaction Tax (Effective from 30 May, 2019)	N/A		T (Cash) T+2 (Stock)	T+2
Malaysia	(##)	0.6% Min.MYR200 Min.USD50	Contract Stamp 0.1% (round up to the nearest dollar, Max MYR200) Please note: From 1 Mar 2018 to 28 Feb 2021, the stamp duty of listed company (Mid and Small Cap) with market capitalization between MYR 200 million to 2 billion (by closing price as at 31 Dec on prior year) will be exempted.		Clearing Fees 0.03% (always round up to the nearest cent, Max MYR1,000) SST: 6% of commission (effective from 1 Mar 2019)		T+2	T+2
New Zealand	(##)	0.7% Min.NZD60	N/A	N/A	N/A		T+2	T+2
Philippines	(##)	0.6% Min.PHP2,000 Min.USD50	VAT: 12% on Commission	Sales Tax: 0.5% VAT: 12% on Commission	Securities Clearing Corporation of the Philippines Fee: 0.01% Bangko Sentral Registration Document (BSRD) fee -Fixed PHP 1,000 per order		T+3	T+3

Singapore	(##)	0.4% Min.SGD85 Min.USD65 Min.HKD500	N/A	N/A	Clearing fee: 0.04% (existing fee) 0.0325% (start from 1/6/2014) 0.05%Clearing Fee (Warrants) Temporary Waived Charges:(0.0075% Singapore Exchange	T+2	T+2	
Taiwan --TSE **#	NTD1,800	0.25% Min.TWD450	N/A	0.3% Equity 0.1% TDR	FX Charges TWD1,000 for amount less than USD10,000 TT Charges HKD265 for each Buy NTD FX	T(Cash) T+2 (Stock)	T+3 (Cash) T+2 (Stock)	
Taiwan --GRETAI Market OTC **#	NTD1,800	0.5% Min.TWD450	N/A	0.3%	FX Charges TWD1,000 for amount less than USD10,000 TT Charges HKD265 for each Buy NTD FX	T(Cash) T+2(Stock)	T+3 (Cash) T+2 (Stock)	
Taiwan Stock - Pre-delivery charges TWD 0.5/1,000shs								
Thailand	THB400.00 (Effective from 14 Oct 2019)	0.6% Min.THB2,000 Min.USD50	VAT: 7% on Commission		N/A	T+2	T+2	
USA - (NYSE, AMEX & NASDAQ)** ^	*DVP USD30 (##)	0.25% (min.USD20 per order)	N/A	0.00221% (Effective from Feb 13, 2020)	N/A	T+2	T+2^	
USA -OTC** ^	*DVP USD30 (##)	0.25% (min.USD20 per order)	N/A	0.00221% (Effective from Feb 13, 2020)	N/A	T+2	T+2^	
USA - OTC orders must be prior consulted your account executive before trading.								
United Kingdom & Ireland**	GBP10 (##)	0.6% Min.GBP65	UK Stock (Gross Amount + Comm) Contract Stamp 0.5% Ireland Stock (Gross Amount + Comm) Contract Stamp 1%	N/A	Over GBP10,000 will charge levy of the panel on takeovers and mergers (PTM Levy) GBP1	T+2	T+2	
U.S. Domestic Bonds	Corporate Action Fees (including Coupon Interest, Redemption and CA Instruction)					USD15 per transaction		
Note: Telegraphic Transfer Fees of Foreign Exchange Transaction (FX) done for trade settlement will be charged at cost.								
Remark: All the above charges are subject to change without prior notice. (*) This charge will only be imposed when the transaction is requested to be settled through CPY's Custodian Bank. (**) Custodian Services Fees will be charged on the 20th day of each month from client account. Should the payment date fall on a public holiday, it will be deferred to the next business day. Refer to Custodian Services Fees Table for details. (#) Stock withdrawal is not allowed. (##) External Fees (Telegraphic Transfer Charges HKD265 or CHATS charges HKD55), if any, payable to local brokers, stock exchange or in relation to trade settlement will be charged separately. (^) Special fund withdrawal arrangement regarding U.S. stock trading: Instructions to withdrawal proceeds of U.S. stock could only be made from four business days after the transaction date onwards (i.e. T+3). Please contact your Account Executive or our Customer Service Department at (852) 2166-3888 should you have any inquiries in this regard.								
Market Charges - China B Shares								
Markets	Settlement Fee	Comm. Charged to Client	Tax / Stamp Duty Charged by Government		Transaction Levy / Others		Settlement Details	
		Min.-Standard Rate	Buy	Sell	Buy	Sell	Buy	Sell
Shanghai B	N/A	0.3% (Min USD15)	N/A		Stamp Duty: 0.1%	Levy 0.00487% Admin Fee 0.002% Settlement Fee 0.05%	T+3	Trade currency: USD
Shenzhen B	N/A	0.3% (Min HKD100)	N/A		Stamp Duty: 0.1%	Levy 0.00487% Admin Fee 0.002% Clearing Fee 0.05% of gross amount (MaxHK\$500) Settlement Fees for DVP Client HKD50 per transaction	T+3	Trade currency: HKD
Remark: Our company only accept the market order for selling odd lot of B shares though Account Executive								

Notes:

1. All the above charges are subject to change without prior notice, please check with your account executive for the latest charges.
2. Above charges are not applicable to trading of A shares which are converted from Shanghai or Shenzhen B shares. For the selling of A shares after such conversion, all trading fee and related levy will be charged according to the relevant exchange/organization. Trading Commission is negotiable.
3. A RMD1,000 conversion fee will be charged for each conversion from Shenzhen B shares to A shares.