

### Hong Kong Securities Service Charges

(The following service fees are charged according to the transaction currency)

#### Hong Kong Securities Trading Costs

Service	Charges	Minimum / Maximum
Brokerage Commission	0.25% on transaction amount (rounded to the nearest cent)	Minimum : HK\$100.00/RMB100.00
Transaction Levy	0.0027% on transaction amount (rounded to the nearest cent) Effective from 1st Nov 2014	N/A
Investor Compensation Levy	N/A	N/A
Trading Fee	0.005% on transaction amount (rounded to the nearest cent)	N/A
Trading Tariff	HK\$1.00 / RMB1.00 for each transaction.	N/A
Stamp Duty	0.1% of transaction value Calculated in strict percentage form, Rounded up to the nearest dollar.	N/A
CCASS Stock Settlement Fees	0.002% on transaction amount (rounded to the nearest cent)	Min.: HK\$2.00 / RMB2.00 Max.: HK\$100.00 / RMB100.00

### Shanghai A share Service Charge (Shanghai-Hong Kong Connect)

#### Shanghai A share Trading Cost (Shanghai-Hong Kong Connect)

Service	Charges	Minimum & Maximum
Commission	0.25% of transaction amount	Minimum: RMB100.00
Handling Fee	0.00487% of the consideration of each transaction per side (Effective from 3 Aug 2015)	NA
Securities Management Fee	0.002% of Transaction amount	NA
Seller Stamp	0.1% of Transaction amount on the seller	NA

	0.004% of of the consideration of each transaction per side payable  Including: 0.002% of the consideration of each transaction per side payable under ChinaClear's Shanghai-Hong Kong Stock Connect Rules; and  0.002% of the consideration of each transaction per side payable under the General Rules of CCASS for the provision of Stock Connect Northbound Clearing services by HKSCC	
Transfer Fee		NA
Trading Tariff	RMB1.00 for each transaction.	NA
Portfolio fee	0.008% for annally , Charged by Monthly (Daily calculated by market value of Portfolio) (HKD)	NA
Remark: Fee will be rounded up to nearest cent		
<b>Shenzhen Stock Exchange Securities (SZ-HK Stock Connect)</b>		
<b>Shenzhen Stock Exchange Securities (SZ-HK Stock Connect)</b>		
Service	Charges	Minimum & Maximum
Commission	0.25% of transaction amount	Minimum: RMB100.00
Handling Fee	0.00487% of the consideration of each transaction per side	NA
Securities Management Fee	0.002% of Transaction amount	NA
Seller Stamp	0.1% of Transaction amount on the seller	NA
Transfer Fee	0.004% of of the consideration of each transaction per side payable	NA
Trading Tariff	RMB1.00 for each transaction.	NA
Portfolio fee	0.008% for annally , Charged by Monthly (Daily calculated by market value of Portfolio) (HKD)	NA
Remark: Fee will be rounded up to nearest cent		