



京華山一

Core Pacific - Yamaichi

updated: 28 Mar 2012

Overseas and China Stock Market Charges

(English Version Only 只提供英語版本)

Market Charges Overseas

Markets (Equity)	Settlement Fee	Comm. Charged to Client Min.-Standard Rate	Tax / Stamp Duty Charged by Government		Transaction Levy / Others		Settlement Details	
			Buy	Sell	Buy	Sell	Buy	Sell
Australia	N/A	0.7% Min.AUD70.00	N/A	N/A	N/A		T+3	T+3
Canada	N/A	0.6% (min.CAD60 per order)	N/A	N/A	N/A		T+3	T+3
Indonesia**	*USD80.00	0.6%Min. Equivalent to USD50.00 at prevailing FX rate	VAT: 10% on Comm	VAT: 10% on Comm Withholding Tax: 0.1%	0.043% (For Ordinary Shares)		T+3	T+3
Japan**	(Equity & Bond) JPY1,200.00 for Safekeeping Client JPY2,400.00 for DVP Client (Unlisted Stock) JPY2,500.00 for Safekeeping Client JPY5,000.00 for DVP Client	0.4% Min. JPY2,500	N/A	N/A	N/A		T+3	T+3
Korea #	N/A Settle Ccy (HKD&USD)	0.6% WON30,000.00	N/A	Sales Tax 0.3%	N/A		T (Cash) T+2 (Stock)	T+2
Malaysia	N/A	0.6% Min.MYR200.00 Min.USD50.00	Contract Stamp 0.1% (round up to the nearest \$, Max MYR200.00)		Clearing Fees 0.03% (always round up to the nearest cent, Max MYR500.00)		T+3	T+3
New Zealand	N/A	0.7% Min.NZD60.00	N/A	N/A	N/A		T+3	T+3
Philippines	*Additional charges of Peso1,800.00 for trade settle in other Currencies	0.6% Min.PHP2,000.00 Min.USD50.00	VAT: 10% on Commission	Sales Tax: 0.5% VAT: 10% on Commission	SCCP Fee: 0.01%		T+3	T+3
Singapore	N/A	0.4% Min.SGD85.00 Min.USD50.00	N/A	N/A	0.04%Clearing Fee Max.SGD600.00 or USD393.00 or HKD3,095.00 0.05%Clearing Fee (wrt) Temporary Waived Charges (0.0075% SGX)		T+3	T+3

					Access Fee)	
Taiwan -TSE **#	NTD1,800.00	0.25% Min.TWD450.00	N/A	0.30% Equity 0.1% TDR	FX Charges TWD1,000.00 for amount less than USD10,000.00 TT Charges HKD260.00 for each Buy NTD FX	T (Cash) T+2 (Stock) T+2 (Cash)
Taiwan- GRETAI Market OTC **#	NTD1,800.00 Pre-delivery charges TWD0.5/1,000shs	0.5% Min.TWD450.00	N/A	0.30%	FX Charges TWD1,000.00 for amount less than USD10,000.00 TT Charges HKD260.00 for each Buy NTD FX	T (Cash) T+2 (Stock) T+2 (Cash)
Taiwan Stock - Voting Instruction may not be allowed, please refer to your account executive from time to time and case by case. - Physical Stock Settlement Fees will be charged (1) NTD500.00 and (2) NTD16.50/Certificate, Min. NTD3,300/transaction						
Thailand**	*THB2,250.00	0.6% Min.THB2,000.00 Min.USD50.00	VAT: 7% on Commission		N/A	T+3
USA -(NYSE, AMEX & NASDAQ)**	*DVP USD30.00	0.25% (min.USD70 per order)	N/A	0.00224% (Effective from 28 Mar 2012)	N/A	T+3
USA -OTC**	*DVP USD30.00	0.25% (min.USD70 per order)	N/A	0.00224% (Effective from 28 Mar 2012)	N/A	T+3
USA - OTC orders must be prior consulted your account executive before trading.						
United Kingdom**	GBP10.00	0.6% Min.GBP65.00	(Gross Amount + Comm) Contract Stamp 0.5%	N/A	Over GBP10,000.00 will charge PTM Levy GBP1.00	T+3
U.S. Domestic Bonds	Corporate Action Fees (including Coupon Interest, Redemption and CA Instruction)					USD15.00 per transaction
Note: Telegraph Transfer Fees of Foreign Exchange Transaction (FX) done for trade settlement will be charged at cost.						
Remark: All the above charges are subject to change without prior notice and the external fees (if any) payable to local brokers, stock exchange or in relation to trade settlement will be charged separately.						
(*) This charge will only be imposed when the transaction is requested to be settled through CPY's Custodian Bank.						
(**) Custodian Services Fees will be charged on the 20th day of each month from client account. Should the payment date fall on a public holiday, it will be deferred to the next business day. Refer to Custodian Services Fees Table for details.						

(#) Stock withdrawal is not allowed.

Market Charges - China B Shares

Markets	Commission	Tax / Stamp Duty Charged by Government		Transaction Levy / Others		Settlement Details
		Buy	Sell	Buy	Sell	Buy/Sell
Shanghai B	0.3% (Min USD15.00)	N/A	Stamp Duty 0.1%	Levy 0.026% Admin Fee 0.004% Settlement Fee 0.05%		T+3 Trade Currency: USD
Shenzhen B	0.3% (Min HKD100.00)	N/A	Stamp Duty 0.1%	Levy 0.0341% Clearing Fee 0.05% of gross amount (MaxHK\$500.00) Settlement Fees for DVP Client HKD50.00 per transaction		T+3 Trade Currency: HKD
Notes:						
All the above charges are subject to change without prior notice, please check with your account executive for the latest charges.						